

Import Documentary Collection Update - Islamic User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Import Documentary Collection Update - Islamic User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

## Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

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## Import Documentary Collection Update Booking - Islamic

Details of Import Documentary Collection can be updated before liquidation, changes can be for Collection amount, Maturity Date, etc. The update can be requested through Online/Offline channels.

The various stages involved for Import Doc Collection update are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents received from the presenting bank
- Verify documents and capture details (Online/Non Online Channels)- Data Enrichment stage
- Input/Modify details of update Collection - Data Enrichment stage
- Check for limit availability if applicable
- Check balance availability for amount block if applicable
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Import Doc Collection Update process flow is similar to that of conventional Import Doc Collection Update process flow.

This section contains the following topics:

<a href="#">Common Initiation Stage</a>	<a href="#">Registration</a>
<a href="#">Data Enrichment</a>	<a href="#">Exceptions</a>
<a href="#">Multi Level Approval</a>	<a href="#">Reject Approval</a>

### Common Initiation Stage

The user can initiate the new Islamic import collection update request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

## 2. Click Trade Finance > Initiate Task.

The screenshot shows the Oracle 'Initiate Task' registration form. The left sidebar contains a menu with the following items: Security Management, Task Management, Tasks, Trade Finance, Administration, Bank Guarantee Advise, Bank Guarantee Issuance, Common Group Message, Enquiry, Export - Documentary Collection, Export - Documentary Credit, Import - Documentary Collection, Import - Documentary Credit, Initiate Task (highlighted), Maintenance, and Process Initiation. The main content area is titled 'Registration' and contains two dropdown menus: 'Process Name' (Islamic Export Documentary Coll...) and 'Branch \*' (PK2-Oracle Banking Trade Finan...). There are 'Proceed' and 'Clear' buttons on the right side of the form.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

### Action Buttons

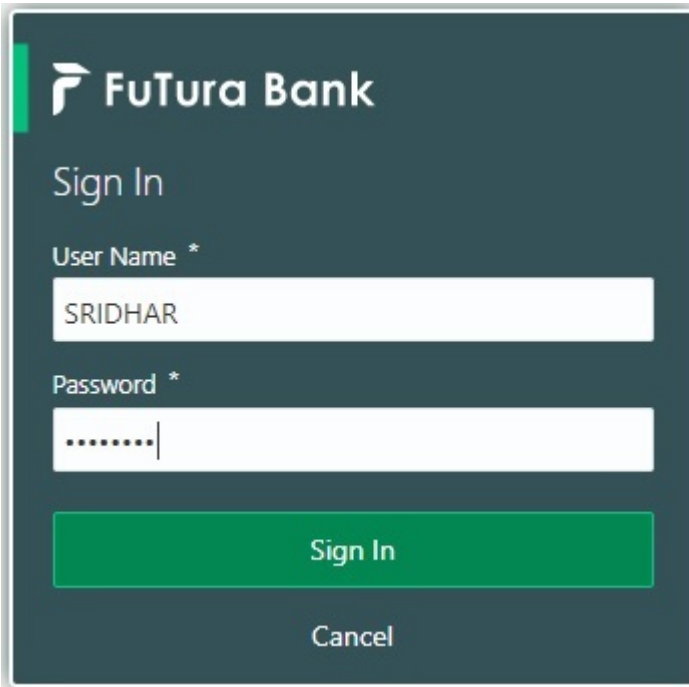
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

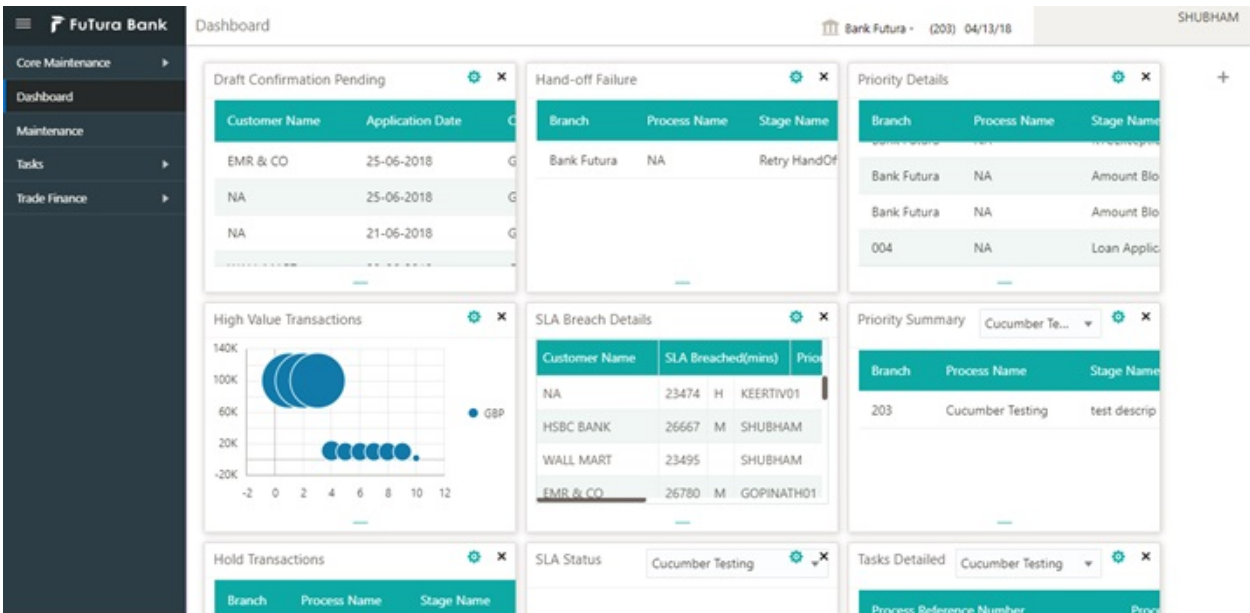
User can register request for an Islamic Import Doc Collection Booking Update at the front desk (as an application received physically/Courier). During Registration stage, user can capture the basic details of the transaction, check the signature of the applicant and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the FuTura Bank logo and the text "Sign In". Below the header, there are two input fields: "User Name \*" with the text "SRIDHAR" and "Password \*" with masked characters. A green "Sign In" button is positioned below the password field, and a "Cancel" link is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



The image displays the FuTura Bank dashboard. On the left is a navigation menu with options like "Core Maintenance", "Dashboard", "Maintenance", "Tasks", and "Trade Finance". The main area contains several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name" and "Application Date".
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name".
- High Value Transactions:** A bubble chart showing transaction values for different currencies, with a legend for "GBP".
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority".
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A widget showing "Cucumber Testing" with a status indicator.
- Tasks Detailed:** A widget showing "Cucumber Testing" with a status indicator.

3. Click Trade Finance - Islamic > Import Documentary Collection > Import Documentary Collection Update - Islamic.

The screenshot displays the Oracle Trade Finance interface. On the left is a dark navigation menu with the following items: Completed Tasks, Free Tasks, Hold Tasks, My Tasks, Search, Supervisor Tasks, Trade Finance, Trade Finance - Islamic, Bank Guarantee Advise, Bank Guarantee Issuance, Export - Documentary Collection, Export - Documentary Credit, Import - Documentary Collection, Import Documentary Collection Booking - Islamic, and Import Documentary Collection Liquidation - Islamic.

The main content area shows several panels:

- Pending Exception Approval (0)**: A panel at the top with a vertical bar on the left.
- Hand-off Failure**: A table with the following data:
 

Process Reference Number	Branch	Process Name
<a href="#">PK2GTAI000010963</a>	PK2	Guarantee Advise Ir
<a href="#">PK2GTAI000010961</a>	PK2	Guarantee Advise Ir
- Draft Confirmation Pending**: A table with columns: Process Reference Number, Customer Id, Appli. It shows "No data to display." and pagination: Page 1 (0 of 0 items).
- SLA Status Summary**: A donut chart showing a value of 247.

The Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:



# Application Details

Import Documentary Collection Update Booking - Islamic

Documents Remarks Customer Instruction Common Group Messages

### Application Details

Documentary Collection Number \*  
PK2DCN8211250007

Submission Mode  
Desk

Process Reference Number  
PK2IUDU000011150

Drawee \*  
000325 NATIONAL FREN

Update Date \*  
May 5, 2021

Remitting Bank Date/Remitting Date \*  
May 5, 2021

Branch  
PK2-Oracle Banking Trade Finan...

Remitting Bank/Remitter

Version Number  
1

Priority  
Medium

Remitting Bank/Remitter Reference

View Collection Events

### Collection Details

Documents Received

Product Description  
INCOMING SIGHT BILLS UNDER LC PAY

Contract Reference Number  
PK2DCN8211250007

Finance Amount  
GBP

Rebate Amount  
GBP £0.00

Duplicate

Operation Type \*  
PAY

Bill Amount \*  
GBP £998.00

Acceptance Date

Transferee Bank

Tenor Type \*  
Sight

Stage \*  
INITIAL

Amount In Local Currency  
GBP £998.00

Drawer \*  
000326 PHIL HAMPTC

Product Code \*  
DCN8


Co Acceptance Required


Bill Outstanding Amount  
GBP £998.00

Limit verification required

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	<p>Provide the documentary collection Number. Alternatively, user can search the documentary collection reference using LOV.</p> <p>In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.</p>	
Drawee	<p>Read only field.</p> <p>Drawee ID and name will be auto-populated based on the selected Documentary Collection Number.</p> <p>The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.</p> <p> <b>Note</b></p> <p>If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.</p>	
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected Documentary Collection Number.</p>	203-Bank Futura -Branch FZ1






Field	Description	Sample Values
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of task in Registration stage.	High
Submission Mode	Select the submission mode of Import Collection Update Booking request. By default the submission mode will have the value as 'Desk'. <b>Desk-</b> Request received through Desk <b>Courier-</b> Request received through Courier	Desk
Update Date	By default, the application will display branch's current date.	04/13/2018
Remitting Bank/Remitter	Read only field. Remitting Bank/Remitter will be auto-populated based on the selected Documentary Collection Number. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.  <b>Note</b> In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Remitting Bank/Remitting Bank Reference	Read only field. Remitting Bank/Remitting Bank Reference will be auto-populated based on the selected Documentary Collection Number.	
Process Reference Number	Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.	
Remitting Bank Date/ Remitting Date	Read only field. Remitting Bank Date/Remitting Date will be auto-populated based on the selected Documentary Collection Number.	
Version Number	Version Number will be auto-generated.	


## Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

Provide the Collection Details based on the description in the following table:

Field	Description	Sample Values
Documents Received	<p>Read only field.</p> <p>Documents Received will be auto-populated based on the selected Documentary Collection Number.</p>	
Duplicate	<p>If a Duplicate (second set) of Import document is received, the user has to initiate Import Collection update process. User has to select the option as <b>'Second'</b> in <b>"Document Received"</b> and has to set the "Duplicate" toggle to Yes.</p> <p>This toggle when enabled, does not allow the user to update any other field within the task except for capturing the remarks.</p>	
Tenor Type	<p>Read only field.</p> <p>Tenor will be auto-populated based on the selected Documentary Collection Number.</p> <p>If Tenor type is Mixed, system should disable user input in Liquidation and Unlinked FX rate field. On Submit user will get an error message.</p>	
Product Code	<p>Read only field.</p> <p>Product Code will be auto-populated from the collection booking.</p>	
Product Description	<p>Read only field.</p> <p>This field displays the description of the product as per the product code.</p>	

Field	Description	Sample Values
Operation Type	<p>Operation type will be auto-populated from the collection booking. User can update the operation code, if required.</p> <p>The options are:</p> <ul style="list-style-type: none"> <li>• DIS</li> <li>• COL</li> <li>• FOP - Free of Payment for bills booked with operation Collection or Acceptance.</li> </ul> <p> <b>Note</b> Change of Operation from Collection or Acceptance to Free of Payment should be enabled only for Documentary Bills not under LC.</p> <p> <b>Note</b> User cannot change the operation type, If the operation code is set as ADV or COL or DIS in collection Booking.</p> <p> <b>Note</b> User can change the operation type to ADV or DIS, if the operation code is set as ACC in collection booking.</p>	
Stage	<p>System displays the stage of the transaction. User can change the value from initial to final.</p> <p> <b>Note</b> User cannot change the stage value from Final to initial.</p> <p> <b>Note</b> For Operation Type, FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.</p>	
Co-Acceptance Required	<p>Co-Acceptance toggle will be preset from the collection booking. User can update if required.</p> <p>Toggle 'Yes': Set the toggle to 'Yes', if the co-acceptance has been requested.</p> <p>Toggle 'No': By default the toggle will be set to 'No' which implies the co-acceptance has not been is not required.</p>	

Field	Description	Sample Values
Contract Reference Number	System to populate contract reference number from the back end system once the Documentary Collection Number is selected.	
Bill Amount	Read only field. Bill amount will be auto-populated based on the selected Documentary Collection Reference.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Bill Outstanding Amount	Read only field. Bill Outstanding Amount will be auto-populated from the collection booking.	
Finance Amount	Read only field. Finance Amount will be auto-populated based on the selected Documentary Collection Number.	
Acceptance Date	Provide the acceptance date.	
Drawer	Read only field. Drawer Name will be auto-populated from the collection booking. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.   <b>Note</b> If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.	
Limit verification required	Toggle On- Enables for limit verification. If the toggle is disabled and <b>Finance amount</b> has been provided, the system prompts an override message on Submit.	
Rebate Amount	Provide rebate to the bill outstanding amount.	
Transferee Bank	Select the Transferee Bank from the LOV. The name of the transferee bank appears in the adjacent field.  This field is enabled, if <b>Operation Type</b> is <b>TRF</b> .	

## Miscellaneous

Import Documentary Collection Update Booking - Islamic

Documents Remarks Customer Instruction Common Group Messages

### Application Details

Documentary Collection Number \*  
PK2DCN8211250008

Drawee \*  
001044 GOODCARE PLE

Branch  
PK2-Oracle Banking Trade Finan...

Priority  
Medium

Submission Mode  
Desk

Update Date \*  
May 5, 2021

Remitting Bank/Remitter

Remitting Bank/Remitter Reference

Process Reference Number  
PK2IUDU000071250

Remitting Bank Date/Remitting Date \*  
May 5, 2021

Version Number  
1

View Collection Events

### Collection Details

Documents Received

Product Description  
INCOMING SIGHT BILLS UNDER LC PAY

Contract Reference Number  
PK2DCN8211250008

Finance Amount  
GBP

Rebate Amount  
GBP £0.00

Duplicate

Operation Type \*  
PAY

Bill Amount \*  
GBP £1,000.00

Acceptance Date

Transferee Bank

Tenor Type \*  
Sight

Stage \*  
INITIAL

Amount In Local Currency  
GBP

Drawer \*  
001043 MARKS AND

Product Code \*  
DCN8

Co Acceptance Required

Bill Outstanding Amount  
GBP

Limit verification required

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the collection booking update.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.	

### Action Buttons

Field	Description	Sample Values
Submit	On submit, task will move to next logical stage of Import Documentary Update Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

### OBTF-OBTFPM Bi-Directional Flow

1. In OBTFPM, user clicks on **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
2. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
3. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'.
4. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

## Data Enrichment

On successful completion of registration of an Import Collection Update Booking, the request moves to Data Enrichment stage. A DE user can input Islamic Import Doc Collection update. As part of data enrichment, user can enter/update basic details of the incoming request.

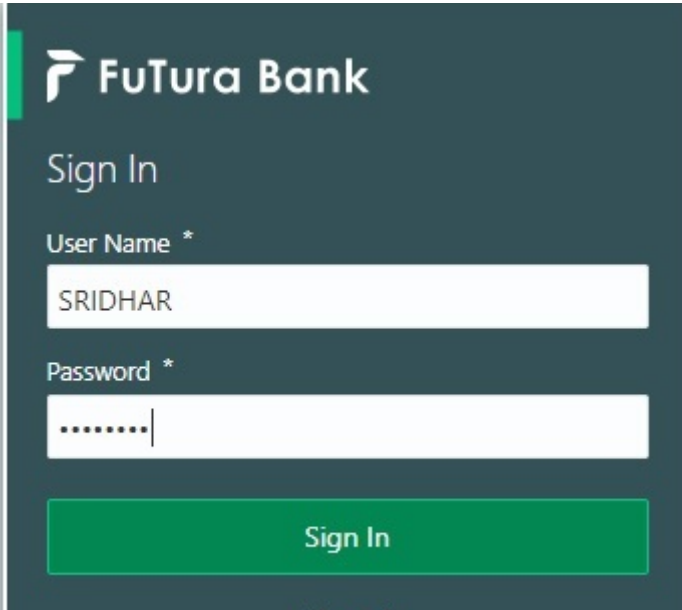


#### Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



**FuTura Bank**

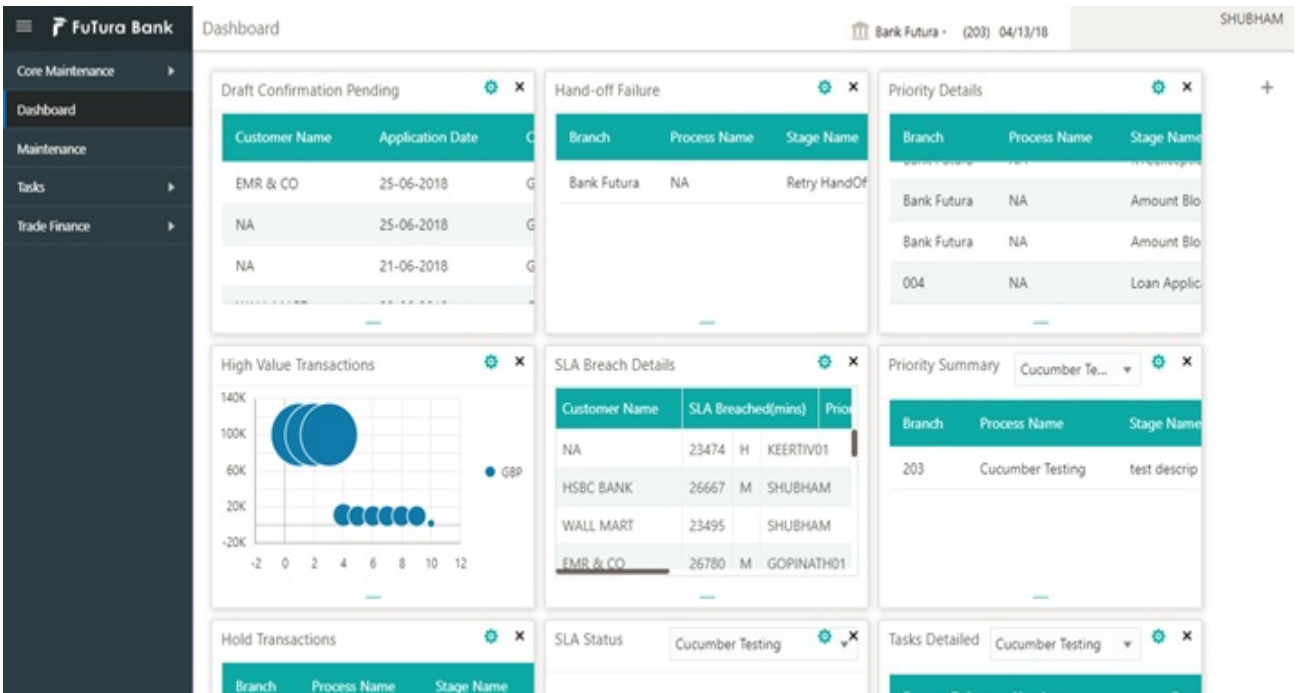
## Sign In

User Name \*

Password \*

**Sign In**

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



**FuTura Bank** Dashboard Bank Futura - (203) 04/13/18 SHUBHAM

#### Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

#### Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

#### Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

#### High Value Transactions

140K  
100K  
60K  
20K  
-20K

● GBP

-2 0 2 4 6 8 10 12

#### SLA Breach Details

Customer Name	SLA Breached(mins)	Priority	Stage Name
NA	23474	H	KEERTIV01
HSBC BANK	26667	M	SHUBHAM
WALL MART	23495	M	SHUBHAM
EMR & CO	26780	M	GOPINATH01

#### Priority Summary

Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

#### Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

#### SLA Status

Cucumber Testing

#### Tasks Detailed

Cucumber Testing



### 3. Click Tasks > Free Tasks.

The screenshot shows the Oracle Free Tasks page. The left sidebar contains navigation options like Core Maintenance, Dashboard, Machine Learning, Security Management, Task Management, Tasks, Awaiting Customer Clarification, Business Process Maintenance, Completed Tasks, Free Tasks (highlighted), Hold Tasks, and My Tasks. The main table lists tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The first row shows a task with Action 'Acquire & Edit', Priority 'Medium', Process Name 'Islamic Import Documentary Collection Booking Update', Process Reference Number 'PK2IDU000011150', Application Number 'PK2IDU000011150', Stage 'DataEnrichment', Application Date '22-03-11', Branch 'PK2', and Customer Number '000325'. The page footer indicates 'Page 1 of 258 (1 - 20 of 5158 items)'.

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

This screenshot is similar to the previous one, but the first task in the table is selected with a blue checkmark. The 'Acquire & Edit' button in the top toolbar is highlighted in orange, indicating it is the active action for the selected task. The rest of the interface remains the same.

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The screenshot shows the Oracle My Tasks page. The left sidebar is the same as in the previous screenshots, but the 'My Tasks' option is highlighted. The main table lists tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The first row shows a task with Action 'Edit', Priority 'Medium', Process Name 'Islamic Import Documentary Collection Booking U...', Process Reference Number 'PK2IDU000011150', Application Number 'PK2IDU000011150', Stage 'DataEnrichment', Application Date '22-03-11', Branch 'PK2', and Customer Number '000325'. The page footer indicates 'Page 1 of 11 (1 - 20 of 207 items)'.

The Data Enrichment stage has sections as follows:

- Main Details
- Document Details
- Other Details
- Maturity Details

- Additional Fields
- Advices
- Settlement Details
- Updated Details
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields in Application Details that are already having value from registration/online channels may not be editable.

## Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

## Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Islamic Import Documentary Collection Booking Update  
DataEnrichment :: Application No:- PK2IDU000011150

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details

Application Details

Documentary Collection Number \* PK2DCN8211250007

Drawee \* 000325 NATIONAL FREI

Branch PK2-Oracle Banking Trade Finan...

Priority Medium

Submission Mode Desk

Update Date \* May 5, 2021

Remitting Bank/Remitter

Remitting Bank/Remitter Reference

Process Reference Number PK2IDU000011150

Remitting Bank Date/Remitting Date \* May 5, 2021

Version Number 2

Collection Details

Documents Received

Duplicate

Tenor Type \* Slight

Product Code \* DCN8

Product Description INCOMING SIGHT BILLS UNDER LC PAY

Operation Type \* PAY

Stage \* INITIAL

Co Acceptance Required

Contract Reference Number PK2DCN8211250007

Bill Amount \* GBP £998.00

Amount In Local Currency GBP £998.00

Bill Outstanding Amount GBP £998.00

Finance Amount GBP

Drawer \* 000326 PHIL HAMPTC

Limit verification required

Rebate Amount GBP £0.00

Transferee Bank

Audit

Reject Refer Hold Cancel Save & Close Back Next

## Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, fields that were not captured during Registration can be updated in Data Enrichment stage.

Settlement Details

Summary

Collection Details

Documents Received

Tenor Type \* Usance

Product Code \* DBPS

Product Description OUTGOING DOCUMENTARY USANCE E

Operation Type \* DIS

Stage \* FINAL

Co Acceptance Required

Contract Reference Number PK2DBPS211253501

Amount In Local Currency GBP £160,000.00

Bill Outstanding Amount GBP £14,990.00

Liquidation Amount \* GBP £10.00

Finance Amount GBP £143,000.00

Drawer \* 001044 GOODCARE PLC

Rebate Amount GBP

Unlinked FX Rate

Audit

Reject Refer Hold Cancel Save & Close Back Next



#### Note

In case field Operation Type has value FOP (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	User can view the incoming SWIFT message MT730.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

## Document Details

This section enables the user to enter/ update Documents and conditions details for Islamic Import Collection Update.

As part of document details screen in DE stage the user can capture the Transport Document reference and document date. On click of 'Next' system should validate the value in Document Reference field against the transport document/Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.

System to display an override if the Bill Of Lading reference mentioned in the document details screen matches with that of the Bill of Lading reference in any of the unlinked Shipping Guarantees issued for the customer.

Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Date	Action
AIRDOC		Sender's copy of international consignment note for road transport, indicati		2	1/2		[Calendar] [Edit] [Delete]
BOL		Bill of Lading					[Calendar] [Edit] [Delete]
INSDOC		INSURANCE POLICY OR CERTIFICATE ENDORSED IN BLANK FOR NOT LESS 7		2			[Calendar] [Edit] [Delete]
INVDOC		Commercial invoice, duly signed			1/2		[Calendar] [Edit] [Delete]
MARDOC		CLEAN SEA WAYBILLS CONSIGNED TO APPLICANT, NOTIFY APPLICANT, MA		2	1/2		[Calendar] [Edit] [Delete]
OTHERDOC		Beneficiary's declaration stating that 1/3 original Bill of Lading has been ser					[Calendar] [Edit] [Delete]
PACKINGLIST		PACKINGLIST					[Calendar] [Edit] [Delete]

Capture the information based on the description in the following table:

Field	Description	Sample Values
Document Code	System will default the document codes based on the product selected. User can add or delete the code by selecting the line on the grid.	
Document Type	System will default the document type based on the product selected.	
Document Description	System will populate the document description based on the document code. User can edit the description.	
Document Reference	User can capture the document reference, if available	
Copies Received	User can edit the actual copies received.	
Originals Received	User can edit the actual originals received.	
Document Date	User can edit the date on which the document is received.	

Field	Description	Sample Values
Action	<p>Click Edit icon to edit the document code/ document date.</p> <p>Click Delete icon to delete the document code.</p>	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	User can view the incoming SWIFT message MT730.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

## Other Details

Other Details enables the user to validate the other details for Islamic Import Collection Booking Update.

Islamic Import Documentary Collection Booking Update  
DataEnrichment :: Application No:- PK2IIDU000026385

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details Document Details **Other Details** Shipment Details Maturity Details Additional Fields Advices Additional Details Settlement Details Updated Details Summary

Screen ( 3 / 11)

Other Details

Shipping Guarantee Reference

Shipping Guarantee Reference	Transport Document Reference	Transport Document Date	Amount	Action
PK25GLA211273510	VED88			<input type="checkbox"/> <input type="checkbox"/>

Other Details

Debit Value Date: May 5, 2021  
Credit Value Date: May 5, 2021  
Value Date: May 5, 2021

Other Bank Charges

Other Bank Charges-1  
Other Bank Charge Description-1

Other Bank Charges-2  
Other Bank Charge Description-2

Other Bank Charges-3  
Other Bank Charge Description-3

Other Bank Profit

Start Date

Other Bank Profit-1  
Component: PRO1  
Component Description  
Profit Rate  
Profit Basis  
Waive

Other Bank Profit-2  
Component: PRO2  
Component Description  
Profit Rate  
Profit Basis  
Waive

Other Bank Profit-3  
Component: PRO3  
Component Description  
Profit Rate  
Profit Basis  
Waive

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next



## Shipping Guarantee Reference

Field	Description	Sample Values
Click '+' to add the multiple shipping details.		
Shipping Guarantee Reference	Shipping Guarantee Reference is auto populated from the linked Shipping Guarantee details in the Shipping Detail Grid, based on the Transport Document Reference and Date provided in Bill, which is linked with the Shipping Guarantee.  User can select the Shipping Guarantee from the lookup.	
Transport Document Reference	Transport Document Reference is auto populated from the underlying Shipping Guarantee details..  System validates the Shipping Guarantee Transport Document reference number with Transport Document Reference number in a Bill, if user manually provides the Shipping Guarantee detail.	
Transport Document Date	Transport Document Date is auto populated based on the date provided in Bill, which is linked with the Shipping Guarantee.	
Amount	Shipping Guarantee amount is displayed in this field.	
Action	Edit: Click edit to edit the shipping guarantee details.  Delete: Click edit to edit the shipping guarantee detail.	

## Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

## Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	

Field	Description	Sample Values
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Description -1	The description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -2 to 3	The description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -3	The description of charges to be collected for the other bank as part of the drawings transaction.	

### Other Bank Profit

The user can enter the Interest details to be captured as a part of “Other Bank Profit” details section.

Provide the other bank interest based on the description in the following table:

Field	Description	Sample Values
Start Date	Provide the date from which the system starts calculating the Interest, if other bank interest details not captured in the bill.  If other bank interest details are already captured in the bill, this field is non-editable.	
Other Bank Profit -1, 2 and 3		
Component	This field displays the name of the profit Component.	
Component Description	Provide the description of the profit component.	
Profit Rate	Provide the rate to be applied for the profit component, if other bank interest details not captured in the bill.  If other bank profit details are already captured in the bill, this field is non-editable.	
Profit Basis	Select the calculation basis on which the Interest to be computed, if other bank profit details not captured in the bill.  If other bank profit details are already captured in the bill, this field is non-editable.	

Field	Description	Sample Values
Waive	<p>Select whether the interest to be waived off., if other bank interest details not captured in the bill.</p> <p>If other bank profit details are already captured in the bill, this field is non-editable.</p> <p>The options are:</p> <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	

Field	Description	Sample Values
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	User can view the incoming SWIFT message MT730.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Request Clarification	User should be able to specify the clarification details for requests received online.	

## Maturity Details

A Data Enrichment user can enrich the maturity details for Islamic Import Collection Update.

## Draft Details

Update the draft details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	

Field	Description	Sample Values
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.	

### Maturity and Multi Tenor Liquidation Details

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
SNo.	Serial number of the tenor record.	
Tenor Basis	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Tenor Description	The tenor base code description is displayed based on the selected tenor basis. The user to can edit the tenor description.	
Start Date	Select the start date.	
Tenor Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Transit Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Maturity Date	Maturity date as Start date + Tenor Days or Transit Days. This field is disabled if the Tenor Type is 'Mixed'	
Bill Amount	Provide the bill amount.	
Liquidated Amount	If a liquidation has already happened in the bill, system should display the Liquidated amount. System should validate that the Total Liquidation Amount is not greater than the Bill amount and should display an Error Message	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	User to input the Liquidation Amount.	
Rebate Amount	Provide the value for bill rebate amount.	
Action	Click Edit icon to edit the tenor record. Click Delete icon to delete the tenor record.	
Profit from Date	Interest rate is defaulted in this field. The user can select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	

Field	Description	Sample Values
Profit to Date	Maturity date is auto-populated in this filed.	
Accept Commission From Date	Provide the accept commission from date.	
Accept Commission To Date	Provide the accept commission to date.	

**Action Buttons** Use action buttons based on the description in the following table:

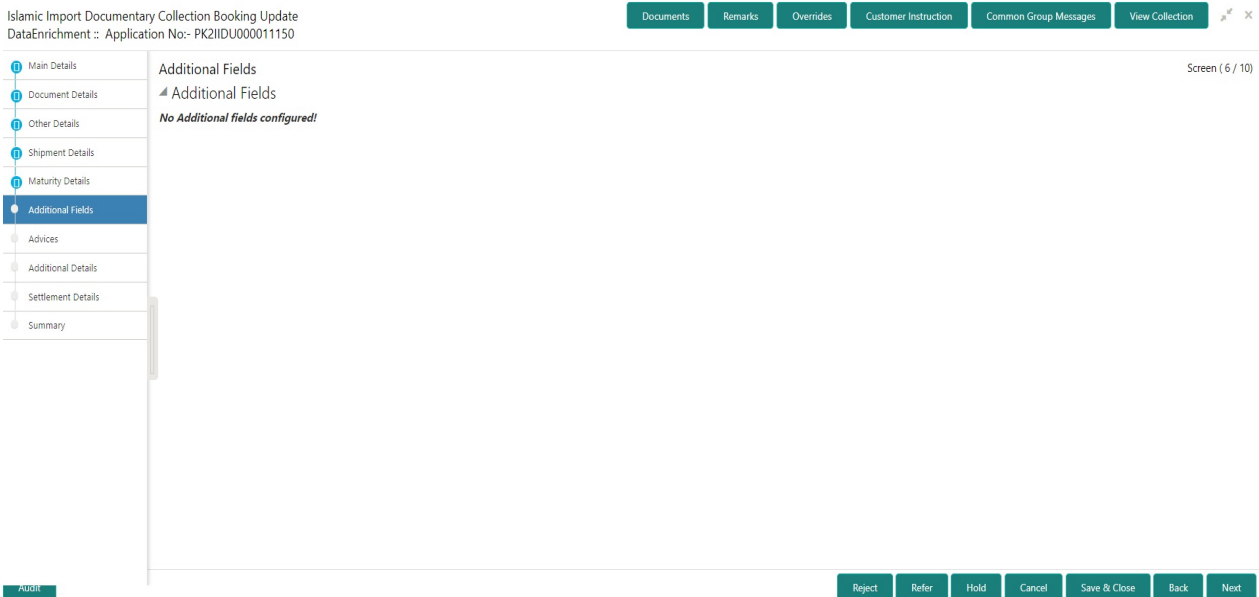
Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	User can view the incoming SWIFT message MT730.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Request Clarification	User should be able to specify the clarification details for requests received online.	



## Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.



**Action Buttons** Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
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Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
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Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Request Clarification	User should be able to specify the clarification details for requests received online.	

## Advices

Advices menu displays the advices from the back office as tiles. A Data Enrichment user can check the advices data segment details of Islamic Import Collection Update. User can update the fields in the tile for details to be captured in the advices. User can suppress the advice. All advices including Remittance Letter should be generated in the back office and user has to attach the Remittance letter (cover letter) with the import documents and forward the same to the Transferee bank.



### Note

In case of Operation Type is FOP, Advice should be generated for the Drawer (Mail Advice) and the Collecting Bank (MT499). In Advices, SWIFT 499 – FREEOFPAYMENT\_ADVICE user should attach the FOP instruction and system should validate the same.

Islamic Import Documentary Collection Booking Update  
DataEnrichment :: Application No:- PK21IDU000011150

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details Document Details Other Details Shipment Details Maturity Details Additional Fields **Advices** Additional Details Settlement Details Summary

Advices

Advice : PAYMENT\_MESS...

Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress : NO  
Advice

Screen (7 / 10)

Reject Refer Hold Cancel Save & Close Back Next

**Action Buttons** Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
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Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

## Additional Details

A Data Enrichment user can enter basic additional details available in the Islamic Import Collection Update.

Islamic Import Documentary Collection Booking Update  
DataEnrichment :: Application No:- PK2IDU000011150

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details Document Details Other Details Shipment Details Maturity Details Additional Fields Advices **Additional Details** Settlement Details Summary

Additional Details Screen ( 8 / 10)

Limit & Collateral	Charge Details	Preview Message	Payment Details
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : <b>GBP</b> Collateral : <b>1100</b> Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Language : Preview Message :-	Allow Rollover : <b>No</b> Advance by Finance : <b>No</b> Liquidate using : <b>No</b> Collateral :
FX Linkage	Finance Preferences	Tracer Details	Linked Finance Details
Reference Number : Currency : Contract Amount :	Finance Product : LinkageRefNo : Finance Tenor Finance : Currency : Finance Amount : Finance Maturity Date :	Payment Tracer : <b>No</b> Acceptance Tracer : <b>No</b> Charges Details : <b>No</b>	Finance Account : Finance Currency : Finance Amount :

Reject Refer Hold Cancel Save & Close Back Next

## Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage \*

Collateral Currency and amount

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can be

Deposit Linkage Details

<input type="checkbox"/>	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
<input type="checkbox"/>	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details
✕

Customer Id  
001044

Contribution % \*  
100.0

Contribution Currency  
GBP

Limit Currency  
GBP

Limit Check Response  
Available

Expiry Date


Line ID \*  
001044

Limits Description

Contribution Amount \*

Limit Available Amount

Response Message

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

#### Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution	System will default this to 100%.	
Contribution Currency	The Bill currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	

Field	Description	Sample Values
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	



**Note**

This section is applicable only for the bills that are co-accepted.

This section displays the collateral details:

Collateral Details ×

<p>Total Collateral Amount * \$67.00</p> <p>Sequence Number 2.0</p> <p>Collateral Contribution Amount * \$67.00</p> <p>Settlement Account Currency GBP</p> <p>Contribution Amount in Account Currency £0.00</p> <p>Response VS</p> <p style="text-align: center;"><span style="background-color: #008080; color: white; padding: 2px 10px; border-radius: 3px;">Verify</span></p>	<p>Collateral Amount to be Collected * \$0.00</p> <p>Collateral Split % * 100.0 <span style="float: right;">▼ ▲</span></p> <p>Settlement Account * PK1000327018 <span style="float: right;">🔍</span></p> <p>Exchange Rate 1.3 <span style="float: right;">▼ ▲</span></p> <p>Account Available Amount £99,999,393,343.91</p> <p>Response Message The amount block can be performed as</p>
---	--

✔ Save & Close
✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	



Field	Description	Sample Values
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.


Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	This field displays the details of settlement account for the collateral.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Exchange Rate	Read only field.  This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	

Field	Description	Sample Values
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selected.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message. System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.  System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.  User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	

Field	Description	Sample Values
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	



**Note**

This section is applicable only for the bills that are co-accepted.

## Commission, Charges and Taxes

This section displays charge details:

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	

Field	Description	Sample Values
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## Message Preview

This section displays the preview message of MT412, if acceptance is involved.

Preview Message ..

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Preview - SWIFT Message

Language: English

Message Type: ▼

Preview Message

Preview - Mail Advice

Language: English

Advice Type: ▼

Preview Message

Save & Close
Close

## FX Linkage



This section enables the user to link the existing FX contract(s) to the bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

Provide the FX linkage detail based on the description in the following table:

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	 

Page 1 of 1 (1 of 1 items) | K < 1 > |

Average FX Rate  
0

[Save & Close](#) [Cancel](#)

### FX Linkage ✕

**FX Reference Number \***

**Currency**

**Contract Amount**

**Available Contract Amount**

**Linkage Amount \***

**Rate**

**Amount in Contract Currency**

**FX Expiry Date**

**FX Delivery Period From**

**FX Delivery Period To**

[Save & Close](#) [Close](#)

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	



Field	Description	Sample Values
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details.  Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Payment Details

Payment Details x

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**PaymentDetails**

Auto Liquidate

Auto Change from Acceptance to Advance

Advance by Finance

Liquidate using Collateral

Settle Available Amount

Outstanding Collateral Amount  
GBP

Allow Rollover

Split Settlement

---

**Settlement Details - Liquidation**

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	Original Exchange Rate	Exchange Rate	Deal Reference Number
BILL_LIQ_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	PK2	GBP			
BILL_LIQ_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	PK2	GBP			

Page 1 of 1 (1-2 of 2 items) K < 1 > X

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**Split Settlement** +

Component	Contract Currency	Amount
No data to display.		

Page 1 (0 of 0 items) K < 1 > X


Split Settlement Details Fetch Exchange Rate


Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Original Exchange Rate	Exchange Rate	Deal Reference Number	Act
1		PK1000332018	000332	GBP	PK1				

Page 1 of 1 (1 of 1 items) K < 1 > X

Save & Close Close

Select the payment details options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Advance by Finance	Select Advance by Loan check box, if required. Advance by Loan enables creation of loan at the time of Final liquidation.	
Settle Available Amount	Enable this option, if during settlement, the amount as available in the CASA account of the customer has to be utilized and for the balance if a loan has to be availed.	
Allow Roll over	Select Allow Rollover check box to allow rollover, if required.	
Auto Change from Acceptance to Advance	Select Auto Change from Acceptance to Advance, if required. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.  <div style="text-align: center;">   <b>Note</b>            This option is applicable only for the bills that are co-accepted by the bank.         </div>	

Field	Description	Sample Values
Outstanding Collateral Amount	<p>Select Auto Liquidate check box, if required.</p> <p>Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.</p>  <p><b>Note</b></p> <p>Cash collateral is applicable only for the bills which are co-accepted by the bank.</p>	
Liquidate using Collateral	Select Liquidate using Collateral check box, if required.	
Protest Date	Select the protest date.	
Split Settlement	<p><b>Toggle On:</b> Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> <p><b>Toggle Off:</b> Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p>	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Account	Details of the settlement account.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	
Action	Click Edit icon to edit the split settlement details record.  Click Delete icon to delete the split settlement details record.	

## Tracers

This section enables the user to view the defaulted tracer details from back end application. It also allows the user to add new tracer details. Add new tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

Tracer Details ×

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Payment Tracer

Tracer Required

Number of Tracers

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date

Acceptance Tracer

Tracer Required

Number of Tracers

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date

Template ID

Field	Description	Sample Values
Tracer Required	Select if Tracer is required or not.	

Field	Description	Sample Values
Number of Tracers	Provide the number tracers required.	
Tracer Frequency	Select the frequency for generation of the tracer.	
Tracer Medium	Select the tracer medium from the LOV.	
Tracer Receiver Party	Select the tracer receiver party from the LOV.	
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.	
Template ID	Select the template ID from LOV.	

## Finance Preference

This section enables the user to link a finance to liquidate the document under collection. This section will be enabled based on the product selected for documents under collection.

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Defaults from the underlying task. User cannot change the value.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer Id	Defaults from the underlying task. User cannot change the value.	
Customer Name	Applicant/ Drawee Name. Defaults from the underlying task. User cannot change the value.	

Field	Description	Sample Values
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	
Finance Currency-Amount	Finance currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency.  System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.	
Exchange Rate	Exchange rate applicable for Local Currency.  System displays the Exchange rate from maintenance. User cannot change the value.  System validates the exchange rate is within the allowed range.	
Finance Tenor Units	Period of loan.  System defaults the value. User can change the value. The numerical value for Days or months or Years is applicable.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Finance Value Date	System defaults the branch date as Value date. User cannot change the value.	
Finance Maturity Date	Finance maturity date as default based on Tenor type and Tenor units. User cannot change the value.	
Liquidate Charge/Comm On LC	Toggle On: Turn the toggle on to include the outstanding Commission/charge to be included as part of Liquidation.	
<b>Finance Profit/UDE Details</b>		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	
Rate Code	System populates the Rate code as part of simulation.	

Field	Description	Sample Values
Usage	System populates the details as part of simulation.	
UDE Value	System populates the value as part of simulation.	
Limits		
Serial Number	System defaults the value.	
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

## Linked Finance Details

This user can view the details of linked finance accounts.

Provide the finance preference details based on the description in the following table:

Field	Description	Sample Values
Finance Account	The details of the linked loan account.	
Finance Currency	Loan Currency of the linked loan account.	
Finance Amount	Loan amount of the linked loan account.	

## Profit Details

The user can view and modify the Profit Details Simulated from Back Office system.

Profit Details ×

Recalculate Redefault

▲

Component	Component Description	Rate Type	Rate Code	Min Spread	Max Spread	Spread	Min Rate	Max Rate	Rate	Modified Rate	Special Pricing Reference Number	Currency	Amount
No data to display.													

Save & Close Close



Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> <li>• Fixed Floating</li> <li>• Special</li> </ul>	
Rate Code	This field displays the rate code applicable for the Componen.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the <b>Rate Type</b> is <b>Floating</b> .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the <b>Rate Type</b> is <b>Floating</b> .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the <b>Rate Type</b> is <b>Fixed</b> . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	
Currency	System defaults the Interest currency in this field.	

Field	Description	Sample Values
Amount	User can input the interest amount, in case the <b>Rate Type</b> is <b>Special</b> , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction.  In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override.  In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM)..	

**Action Buttons** Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	User can view the incoming SWIFT message MT730.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

## Settlement Details

A Data Enrichment user can enter basic settlement details available in the Islamic Import Collection Update. In case the request is received through online channel user can verify the details populated.

The screenshot displays the 'Settlement Details' form. At the top, there are navigation tabs: Clarification Details, Documents, Remarks, Overrides, Customer Instruction, Common Group Messages, Incoming Message, and View Collection. The main content area is divided into sections: Main Details, Document Details, Other Details, Maturity Details, Additional Fields, Advices, Settlement Details (selected), Updated Details, Additional Details, and Summary. The 'Settlement Details' section contains a table with columns: Component, Currency, Debit/Credit, Account, Account Description, Account Currency, Netting Indicator, Current Event, Original Exchange Rate, Exchange Rate, and Deal Reference Num. Below the table is the 'BCCOUR\_LIQD - Party Details' section, which includes fields for Transfer Type, Charge Details, Netting Indicator, Ordering Customer, Ordering Institution, Senders Correspondent, Receivers Correspondent, Intermediary Institution, Account With Institution, Beneficiary Institution, Ultimate Beneficiary, Intermediary Reimbursement Institution, Payment Details (Sender To Receiver 1-6), and Remittance Information (Payment Detail 1-4). At the bottom, there are buttons for Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

**Action Button** Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	User can view the incoming SWIFT message MT730.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

## Summary

User can review the summary of details updated in Data Enrichment stage of Import Documentary Collection Update Booking request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Islamic Import Documentary Collection Booking Update  
DataEnrichment - Application No- PK2IDU00011150

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details Document Details Other Details Shipment Details Maturity Details

Booking Date : 2021-05-05  
Submission Mode : Desk  
Bill Amount : GBP 998

Document 1 : AIRDOC  
Document 2 : BOL  
Document 3 : INSDOC  
Document 4 : INVDOC  
Document 5 : MARDOC

Value Date : 2021-05-05  
Debit Value Date : 2021-05-05  
Credit Value Date : 2021-05-05

Port of Loading :  
Port of Discharge : Mumbai  
Shipment Date : 2020-05-05  
Carrier Name :

Tenor Type : Sight

Additional Fields Advices Limits and Collaterals Commission, Charges and taxes Preview Messages

Click here to view Additional fields : Advice 1 : PAYMENT\_ME Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 1100 Collateral Status : Not Verified

Charge : Commission : Tax : Block Status : Not Initia

Language : ENG  
Preview Message : -

FX Linkage Finance Preference Payment Details Settlement Details Parties Details

Reference Number : Contract Amount : Contract Currency : Loan Product : linkAgeRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : Advance by Loan : Allow Rollover : No Liquidate using : Collateral : Component : LQTAX\_AMT Account Number : Currency : GBP Presenting Bank : FIXNETIX Drawee : NATIONAL F Drawer : PHIL HAMPTON

Compliance details Profit Details Accounting Details

KYC : Not Initia  
Sanctions : Not Initia  
AML : Not Initia

Component : Amount : Event :

Event : AccountNumber : Branch :

Reject Refer Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.
- Accounting Details - User can view the accounting entries generated by back office system.



#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “ Value Date is different from Transaction Date for one or more Accounting entries.



## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	User can view the incoming SWIFT message MT730.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	

Field	Description	Sample Values
Submit	<p>Task will move to next logical stage of Import Documentary Collection Update Booking.</p> <p>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	<p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Request Clarification	<p>User should be able to specify the clarification details for requests received online.</p>	

## Exceptions

The Import Collection Update Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

### Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number” to the back office. On successful handoff, back office will make use of these “Amount Block

Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

### Amount Bock Exception

This section will display the amount block exception details.

### Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	<p>Cancel the Import Collection Update Booking Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

## Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

## Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import Collection Booking KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



#### Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

#### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### Reject

The transaction due to non-availability of limits capturing reject reason.

### Limit/Credit Check

This section will display the amount block exception details.

## Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	

Field	Description	Sample Values
Cancel	Cancel the Import Collection Update Booking Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Multi Level Approval

A User can view the summary of details updated in multilevel approval stage of Import Collection update request. Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving. User sees the summary tiles. The tiles should display a list of important fields with values. Use can also drill down from summary Tiles into respective data segments where user can verify the details of all fields under the data segment.

On successful Handoff, system triggers TRFB event in the Back office.



### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Bill Currency
- Bill Amount



Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

## Summary

Islamic Import Documentary Collection Booking Update  
Approval Task Level 1 :: Application No:- PK2I1DU000071251

Documents Remarks Overrides Customer Instruction Common Group Messages View Collection

Main Details	Document Details	Other Details	Shipment Details	Maturity Details
Booking Date : 2021-05-05 Submission Mode : Desk Bill Amount : GBP 160000	Document 1 : Document 2 :	Value Date : 2021-05-05 Debit Value Date : 2021-05-05 Credit Value Date : 2021-05-05	Port of Loading : Port of Discharge : Shipment Date : Carrier Name :	Tenor Type : Usance
Additional Fields	Advices	Limits and Collaterals	Commission, Charges and taxes	Preview Messages
Click here to view : Additional fields	Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
FX Linkage	Finance Preference	Payment Details	Settlement Details	Parties Details
Reference Number : Contract Amount : Contract Currency :	FinanceProduct : linkageRefNo : FinanceTenor : FinanceCcy : FinanceAmt : FinanceMaturity :	AdvanceByFinance : No AllowRollover : No Liquidateusing : No Collateral	Component : Account Number : Currency :	Drawee : MARKS AND Drawer : GOODCARE PLC Confirming Bank : WELLS FARG
Compliance details	Accounting Details	Profit Details	Exception(Approval)	
KYC : Verified Sanctions : Verified AML : Verified	Event : Account Number : Branch :	Component : Amount : Event :	EXCEPTION : Nil	

Audit Reject Hold Refer Cancel Approve

### Tiles Displayed in Summary:

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.

- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

## Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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